

# **PROCEDURE FOR ACCREDITATION OF RENEWABLE ENERGY GENERATION PROJECT FOR RENEWABLE ENERGY CERTIFICATE BY STATE AGENCY**

## **1. OBJECTIVE :**

- 1.1.** This procedure shall provide guidance to the entities to implement Renewable Energy Certificate and RPO mechanism as envisaged under Uttar Pradesh Electricity Regulatory Commission (Promotion of Green Energy through Renewable Purchase Obligation) Regulations, 2010 notified by UPERC and as amended from time to time, (hereinafter referred to as “**the UPERC RPO Regulations**”).
- 1.2.** UPNEDA as the designated State Agency as defined under UPERC RPO Regulations, is required to develop procedure and establish guidelines under Clause 8.1 of the said Regulations. This procedure/guideline is prepared to implement roles envisaged under Clauses 6, 8 and 10 of the UPERC RPO Regulations.
- 1.3.** Words and expressions used in these Procedures shall have the same meaning as defined in UPERC RPO Regulations.
- 1.4.** All applications for accreditation shall be submitted to the Nodal Officer (REC Cell) of the State Agency at the following address:

**Uttar Pradesh New and Renewable Energy Development Agency  
Vibhuti Khand Gomti Nagar, Lucknow-226010  
Tel.No. 91-0522-2720652, Fax: 0522-2720779  
Website:<http://neda.up.nic.in> E-Mail: [nedaup@dataone.in](mailto:nedaup@dataone.in)**

## **2. APPLICABILITY AND SCOPE :**

- 2.1.** This procedure shall be applicable to all the Eligible Entities, Obligated Entities and other agencies as envisaged herein below in accordance with the provisions stipulated under the UPERC RPO Regulations.
- 2.2.** UPNEDA shall undertake the accreditation of any renewable energy generation project of the generating company not earlier than six months prior to the proposed date of commissioning of such RE generation project. For existing eligible RE Generation Project not tied up in long term power purchase agreement for the capacity for which participation in REC scheme is availed, the State Agency shall undertake the accreditation of the RE Generation Project as and when the RE Generating Company makes an application for accreditation.
- 2.3.** The permission granted by the State Agency in the form of accreditation certificate to the Generating Company for the accredited RE Generation Project shall be valid for a period of five years from the date of accreditation certificate unless otherwise revoked prior to such validity period in pursuance of conditions for revocation as outlined under this Procedure.

### **3. Roles and Duties of Obligated Entity for RPO Compliance :**

- 3.1.** Every Obligated Entity as per the meaning of UPERC RPO Regulations shall submit the information as per the forms given under Annexure I for reporting of the Yearly Estimated RE requirement, Quarterly RPO Compliance and Yearly RPO compliance. The yearly estimated requirement as per Form IA and 1B shall be submitted on or before 15<sup>th</sup> March for the ensuing year. Quarterly RPO compliance statement as per Form IIA and IIB shall be submitted within 15 days from end of each Quarter of the year while Yearly RPO Compliance statement as per Form IIIA and IIIB shall be submitted on or before 25<sup>th</sup> April of each year.
- 3.2.** Every Obligated Entity shall under an affidavit submit a copy of RECs purchased to the State Agency and UPERC, if any, at the end of each quarter.

### **4. Role of Distribution Licensee/STU/SLDC in Accreditation and Monitoring :**

- 4.1.** SLDC shall undertake necessary steps to maintain monthly energy account in terms of Gross energy Generation, Captive Use (Self Use), AUX Consumption and Grid Injection of the plant for which Accreditation has been issued by the State Agency, on the basis of the data submitted by STU / concerned Distribution Licensee in whose system eligible entity is connected, in the manner of established metering protocols. Necessary sealing arrangements for the meters in the plant shall be undertaken by concerned Distribution Licensee or STU, as the case may be, within 15 days of accreditation. The Applicant shall provide all infrastructural assistance such as room, piping etc required for sealing of the meters.
- 4.2.** SLDC shall intimate the monthly report of energy account of each eligible entity to UPERC and State Agency. For the purpose of Plant Auxiliary Consumption, the Normative AUX value shall be taken as 8.5 percent of Gross Generation, where metering of AUX is not available.
- 4.3.** Each Distribution Licensee shall submit list of Grid Connected Captive Power Plant and Open Access Consumers situated in its area of supply to UPERC and UPNEDA within 30 days of approval of this methodology by the commission.

### **5. STEP-WISE DESCRIPTION OF THE PROCEDURE FOR ACCREDITATION :**

The basic procedure for accreditation of the RE generation project shall cover following steps:

- 5.1. STEP-1:** An application for availing accreditation shall be made by the generating Company to the Nodal Officer of the State Agency as defined under clause 8.1 of UPERC RPO Regulations. The application for accreditation shall contain (i) owners

details, (ii) operator details (in case the owner and operator are different legal entities), (iii) Generating Station details, (iv) Connectivity details with concerned licensee (STU/Distribution Licensee), (v) metering details, (vi) Statutory Clearance details, (vii) Undertaking of not having entered into PPA on preferential tariff for the capacity for which participation in REC mechanism is sought as per the UPERC RPO Regulations, (viii) Details of power off-take arrangement as per the UPERC RPO Regulations and (ix) any other relevant information as per the enclosed format **(FORMAT- 1.1 : Application for Accreditation of RE Generation Project)**. In case, the Applicant has multiple RE generation projects then, separate Applications will have to be submitted by the Applicant for each RE generation project. Accreditation of each RE generation project shall be carried out separately. The RE Generation Project shall comply with the requirements of Connectivity standards for Grid Connectivity at particular injection voltage/grid interface point as specified by State Transmission Utility or concerned Distribution Licensee, as the case may be. The Application made for accreditation of RE generation project shall be accompanied by a non-refundable fee as defined in clause 9 of this procedure.

**5.2. STEP-2:** The State Agency shall assign unique acknowledgement number to the Applicant for each application for accreditation of its RE generation project, for any future correspondence.

**5.3 STEP-3:** After receipt of application for accreditation, UPNEDA shall conduct a preliminary scrutiny to ensure Application Form is complete in all respect along with necessary documents and applicable processing fees. Nodal Officers shall undertake preliminary scrutiny of the Application.

The State Agency shall forward the application for accreditation to SLDC and concerned licensee (STU / Distribution Licensee to whose system the eligible entity / Obligated Entity is connected) to seek concurrence.

**5.4 STEP 4:** After conducting the preliminary scrutiny within 7 days, the State Agency shall direct in writing to the Applicant to submit any additional information, if necessary, to further consider the application for accreditation or otherwise. The Applicant shall furnish such desired details within 7 days.

In case the applicant fails to furnish the information requested by the State Agency within the stipulated time frame, the State Agency shall abandon the accreditation and retain the processing fees.

**5.5 STEP-5:** While considering any application for accreditation of RE generation project, UPNEDA shall verify and ascertain availability of following information:

- a) Undertaking with respect to land being in possession of the applicant for setting up the generating station.
- b) Permission letter from the State Transmission Utility or the concerned Distribution Licensee, as the case may be, with respect to Power Evacuation Arrangement.
- c) Permission letter from SLDC that it possesses the necessary infrastructure required to carry out energy metering and time-block-wise accounting.

- d) Metering Arrangement and Location of meters shown in Single Line Diagram (SLD).
- e) Date of Commissioning of RE project in respect of existing eligible RE Project or Proposed Date of Commissioning of new RE project for accreditation.
- f) Copy of Off-take/Power Purchase Agreement or Undertaking regarding status of PPA, existing or otherwise.
- g) Model and Make for critical equipment (say, WTG, STG, PV Module) for the RE Project. Confirmation of compliance of critical equipment with relevant applicable IEC or CEA Standards.
- h) Undertaking in respect of compliance with the usage of fossil fuel criteria as specified by MNRE.
- i) Details of application processing fees.

**5.6 STEP-6:** The State Agency, after duly inspecting/verifying the application with respect to its compliance with the conditions elaborated in Step 5, shall direct the applicant to submit the accreditation charges and upon receiving the said charges shall grant 'Certificate for Accreditation' to the concerned Applicant for the proposed RE Generation project and assign a specific project code number to that effect which shall be used by the such Applicant (Eligible Entities) for all future correspondence with the State Agency. The process of accreditation shall be completed within 30 days from date of receipt of complete information by State Agency. In case accreditation is not granted at this stage, the reasons for rejecting the application for accreditation shall be recorded and intimated to Applicant in writing.

**5.7 STEP-7:** If accreditation is granted or otherwise, the State Agency under intimation to UPERC shall also intimate the same with details of particular RE generation project to the following entities,

- a. Central Agency.
- b. State Load Despatch Centre.
- c. Concerned Distribution Licensee in whose area the proposed RE generation project is / shall be located.
- d. State Transmission Utility.

## **6 FUNCTIONS, ROLES AND RESPONSIBILITIES OF GENERATING COMPANY AND STATE AGENCY :**

### **6.1 GENERATING COMPANY (Eligible Entity) :**

- a) The Generating Company shall apply for the accreditation of its RE generation project facility as per **FORMAT-1.1: “Application for Accreditation of RE Generation Project for REC Mechanism”** enclosed to this Procedure.
- b) The Generating Company shall coordinate with the State Agency and shall be responsible for submitting the information in a timely manner so as to enable State Agency to comply with requirements outlined under this Procedure for Accreditation.
- c) The Generating Company may submit the Application in person or may send through registered post marking the envelope as "Application for Accreditation of RE Generation Project". The application may be sent to the Nodal Officer. Applicant shall submit the fee and charges in the manner described in clause 9 below.
- d) Pay one time non-refundable processing fees for Accreditation. The amounts to be paid shall be as determined from time to time by Uttar Pradesh Electricity Regulatory Commission.
- e) Submit a declaration or undertaking that;
  - i. It does not have and shall not have any power purchase agreement for the capacity related to such generation to sell electricity at preferential tariff determined by Uttar Pradesh Electricity Regulatory Commission.
  - ii. It shall immediately intimate the State Agency if any power purchase agreement for sale of electricity at preferential tariff, as determined by UPERC, is executed.
  - iii. A period of three years or the period up to the scheduled date of expiry of power purchase agreement (whichever is earlier) has elapsed from the date of pre-mature termination of PPA due to material breach of terms and conditions of said PPA by the eligible entity. The hard copy of said PPA has to be submitted to State Agency.

**OR**

The premature termination of PPA with obligated entity with mutual consent or due to material breach of terms and condition of said PPA by the obligated entity. (For This necessary documentary evidence has to be submitted by eligible entity in hard copy to State Agency).

- iv. It has not availed or does not propose to avail any benefit in the form of concessional/promotional transmission or wheeling charges, banking facility benefit and waiver of electricity duty.

**OR**

A period of three years has elapsed from the date of forgoing the benefits of concessional transmission or wheeling charges, banking facility benefit and waiver of electricity duty.

**OR**

The benefits of concessional transmission or wheeling charges, banking facility benefit and waiver of electricity duty has been withdrawn by Uttar Pradesh Electricity Regulatory Commission and/or the State Government.

- v. It shall sell the electricity generated either to the distribution licensee at a price not exceeding the pooled cost of power purchase of such distribution licensee.
- f) Install energy meters as per the CEA guidelines for the purpose of metering of energy injection into the electricity grid.
- g) Install separate metering arrangements as per the CEA guidelines for measurement of captive use consumption and AUX consumption.
- h) Comply with the directions, duties and obligations specified by the Central Electricity Regulatory Commission and Uttar Pradesh Electricity Regulatory Commission in this regard, from time to time.
- i) Co-operate with the State Agency and submit monthly fuel usages for the plant.
- j) Apply in advance for revalidation or extension of validity of existing accreditation at least three months prior to expiry of existing Accreditation.
- k) Whenever there is a change in the legal status of the eligible entity (e.g. change from partnership to company), the eligible entity shall immediately intimate the concerned State Agency and the Central agency about the said change and apply afresh for Accreditation by the concerned State Agency and Registration by the Central Agency. In all other cases involving a change in the name of the eligible entity, only the name of the entity shall be updated with the records of the State Agency and the Central Agency based on the intimation given by the eligible entity. In such cases eligible entity shall provide relevant documents in hard copy.

**ROLE OF State Agency (UPNEDA):**

- a) Comply with the directions issued by the Uttar Pradesh Electricity Regulatory Commission from time to time.
- b) Adopt the procedure contained herein for RE Generator Accreditation.
- c) To scrutinise and verify the documents and all other steps as may be necessary in consultation with the concerned State Transmission Utility and / or Distribution Licensee prior to the Accreditation of RE generation project.
- d) Review of information submitted in the application by the generating company for its completeness and accuracy.
- e) Independent verification of the information submitted by the Applicant.
- f) Submit recommendation for registration or reasons of rejection.
- g) Extension of validity of existing accreditation.
- h) Undertake monitoring for type of Fuel usages and related functions of the Accredited Plant.
- j) Revocation of accreditation wherever required.
- k) Intimate accreditation or otherwise of generating company to the following under intimation to UPERC.
  - Central Agency
  - State Load Despatch Centre.

- Concerned Distribution Licensee in whose area the RE generation project is located.
- State Transmission Utility.

## **7 INFORMATION REQUIREMENT : APPLICATION FORM AND CONTENT**

For the purpose of accreditation of its RE Generation project, the generating company shall furnish information to the State Agency in the format as elaborated in **FORM-1.1** comprising following details.

- a) Section-A: Owner Details.
- b) Section-B: Operator Details.
- c) Section-C: RE Generating Station details.
- d) Section-D: Connectivity Details with Concerned Licensee (STU/Distribution Licensee).
- e) Section-E: Metering Details.
- f) Section-F: Statutory Clearance Details.
- g) Section-G: General Details.
- h) Section-H: Details of Fee & Charges.
- i) Section-I: Declaration

## **8 REPORT: FORMAT FOR ACCREDITATION CERTIFICATE**

The State Agency shall grant 'Certificate of Accreditation' to the Applicant fulfilling all requirements of accreditation in the format as elaborated in the **FORMAT-1.2**.

## **9 FEES AND CHARGES :**

The fees and charges payable by the RE Generator shall be non-refundable and in the manner as mentioned below:

- One time Application Processing Fees: Rs.5000/- per application shall be payable to the State Agency at the time of submission of application for accreditation.
- Initial Accreditation Charges: Rs. 30,000/- per application shall be payable to the State Agency upon grant of accreditation. Certificate of Accreditation shall be issued only on payment of such fees.
- Annual Charges for Accreditation: Rs.10,000/- per annum per application shall be payable by the tenth day of April, for each fiscal year. In case the eligible entity fails to deposit Annual Charges for Accreditation within stipulated time, penalty at the rate of Rs. 2000/- per day of delay, subject to maximum penalty of Rs. 30,000/- (inclusive of Annual charge) shall be imposed by the State Agency.
- Accreditation Charges for Revalidation/extension of validity: Rs.15,000/- per application shall be payable at the time of revalidation/ extension of validity of existing accreditation.

**All fees and charges shall be payable by way of Demand Draft drawn in favour of Director, NEDA, payable at Lucknow.**

## **10 EVENT OF DEFAULT AND CONSEQUENCES THEREOF :**

### **A. Event of Default :**

Following events shall constitute event of default by Eligible Entity and shall lead to revocation of Accreditation granted by State Agency:

10.1 Non-payment of annual accreditation charges by Eligible Entity beyond 15 days from due date of payment of such annual accreditation charges shall constitute event of default by that Eligible Entity.

10.2 Non-compliance by Eligible Entity of any of the terms/conditions/rules outlined under this Procedure.

10.3 Non-compliance of any of the directives issued by the State Agency, so long as such directives are not inconsistent with any of the provisions of UPERC RPO Regulations and are in accordance with the Functions and Powers outlined for the State Agency, shall constitute an event of default by that Eligible Entity.

### **B. Consequences for Event of default:**

10.4 Occurrence of any of the event of default by Eligible Entity shall result into revocation of Accreditation granted to such Eligible Entity for that RE Generation Project.

10.5 The State Agency shall provide adequate Notice of not less than 14 days and opportunity to Eligible Entity to be heard before serving the letter for termination of Accreditation.

10.6 In case Eligible Entity fails to address/rectify the default expressed by the State Agency in the Notice within 14 days, the State Agency shall proceed with revocation of Accreditation granted to such Eligible Entity and serve the letter of termination of accreditation under intimation to UPERC.

10.7 Upon revocation of accreditation, the State Agency shall inform the same to Central Agency, State Load Despatch Centre and concerned distribution licensee, within period of 7 days from date of such revocation.

## **11 POWERS TO REMOVE DIFFICULTIES :**

If any difficulty arises in giving effect to any provision of these Procedures or interpretation of the Procedures, the State Agency may make such provisions or propose amendments, not inconsistent with the provisions of UPERC RPO Regulations, upon seeking due approval from Uttar Pradesh Electricity Regulatory Commission, as may appear to be necessary for removing the difficulty.



**ANNEXURE-I**  
(RPO Statements)

**Form IA: RPO Statement - Non Solar (Yearly Estimate)**

**NAME : Obligated Entity (Put Licensee Name, Captive/OA User Name as the case may be)**

Consumption (Estimated) A	RPO Obligation (@3.75% of A) (1)	Estimated Purchase of Electricity from Solar (B)	Estimated Purchase of Solar REC from PX C	Estimated Total (B+C) (2)	Estimated Short (2-1)
MUs	MUs	MUs	MUs	MUs	MUs
FY 2010-11					

**Form IB: RPO Statement - Solar (Yearly Estimate)**

**NAME : Obligated Entity (Put Licensee Name, Captive/OA User Name as the case may be)**

Consumption (Estimated) A	RPO Obligation (@.25% of A) (1)	Estimated Purchase of Electricity from Solar (B)	Estimated Purchase of Solar REC from PX C	Estimated Total (B+C) (2)	Estimated Short (2-1)
MUs	MUs	MUs	MUs	MUs	MUs
FY 2010-11					

**Form IIA: RPO Compliance (Quarterly) - Solar**

**NAME : Obligated Entity (Put Licensee Name, Captive/OA User Name as the case may be)**

Gross Energy Consumption	RPO % Obligation	RPO % Upto Previous Month	RPO % Cumulati ve Upto The Month (1)	RE Purchase for the Month (MUs)		Total RE Purchase of the Month	RE Purchase Upto Previous Month	RE Cumulati ve Upto The Month (2)	Short if any (1-2)
Q 1	MUs	MUs	MUs	Pref Tariff	REC	MUs	MUs	MUs	MUs
April									
May									
June									
Total									

**Form IIB: RPO Compliance (Quarterly) - Non Solar**

**NAME : Obligated Entity (Put Licensee Name, Captive/OA User Name as the case may be)**

Gross Energy Consumption	RPO % Obligation	RPO % Upto Previous Month	RPO % Cumulati ve Upto The Month (1)	RE Purchase for the Month (MUs)		Total RE Purchase of the Month	RE Purchase Upto Previous Month	RE Cumulati ve Upto The Month (2)	Short if any (1-2)
Q 1	MUs	MUs	MUs	Pref Tariff	REC	MUs	MUs	MUs	MUs
April									
May									
June									
Total									

**Form IIIA: RPO Compliance - Solar Yearly**

**NAME : Obligated Entity (Put Licensee Name, Captive/OA User Name as the case may be)**

Gross Energy Consumption		Solar RPO % Obligation	RPO % Upto Previous Month	RPO % Cumulative Upto The Month (1)	Solar RE Purchase for the Month (MUs)		RE Upto Previous Month	RE Cumulative Upto The Month (2)	Short if any (1-2)
Fiscal Year (xx-xx)	MUs	MUs	MUs	MUs	Pref Tariff	REC	MUs	MUs	MUs
April									
May									
June									
July									
August									
September									
October									
November									
December									
January									
February									
March									
<b>Total</b>									

**Form IIIB: RPO Compliance - Non Solar Yearly**

**NAME : Obligated Entity (Put Licensee Name, Captive/OA User Name as the case may be)**

Gross Energy Consumption		RPO % Obligation	RPO % Upto Previous Month	RPO % Cumulative Upto The Month (1)	RE Purchase for the Month (MUs)		RE Upto Previous Month	RE Cumulative Upto The Month (2)	Short if any (1-2)
Fiscal Year (xx-xx)	MUs	MUs	MUs	MUs	Pref Tariff	REC	MUs	MUs	MUs
April									
May									
June									
July									
August									
September									
October									
November									
December									
January									
February									
March									
<b>Total</b>									

**ANNEXURE II**

**FORMAT-1.1**

**APPLICATION FOR ACCREDITATION OF RE GENERATION PROJECT**

**Section A: Owner Details**

Name of the Applicant

Type of the Applicant  
*(Individual/HUF/Partnership/Ltd Co.  
/Pvt. Ltd. Co. /Public Ltd. Co.)*

Postal Address of the Applicant

Town/Suburb

State

Postal Code

**Primary Contact Name<sup>1</sup>**  
*(Name of Authorised Signatory)*

Position

Phone

Fax

Mobile

E-mail Address

Appointed Person's Detail  
*(In case Owner is Appointed Person)*

**Are Owner and Operator of the Generating Facility the same legal entity?**

*(If yes, go to Section C else complete Section B)*

<sup>1</sup> *If the owner is proposed act as the 'Appointed Person' for the Generating facility, ensure that this is Identical to the name in which Renewable Energy Certificates to be issued by the Central Agency.*

## **Section B: Operator Details**

Name of the Applicant

Type of the Applicant

*(Individual/HUF/Partnership/Ltd Co.  
/Pvt. Ltd. Co. /Public Ltd. Co.)*

Postal Address of the Applicant

Town/Suburb

State

Postal Code

**Primary Contact Name:**

*(Name of Authorised Signatory)*

Position

Phone

Fax

Mobile

E-mail Address

Appointed Person's Detail

*(In case Operator is Appointed Person)*

*2If the operator is proposed to act as the 'Appointed Person' for the Generating facility, ensure that this is identical to the name in which Renewable Energy Certificates to be issued by the Central Agency.*

## Section C: RE Generating Station Details

Name of Power Station

Physical Address of the RE  
Generating Station

Town/Suburb

State

Postal Code

Category of Land<sup>3</sup>  
(*Govt. Land/Private Land*)

Land Lease<sup>4</sup>  
(*Leased/Owned*)

Total Installed Capacity of RE  
Generating Station (in kW)

Total Number of Units

Which Renewable Energy  
Resources is/are utilised by the RE  
Generating Station

Number of units for which  
Accreditation is sought

Unit Number, Corresponding  
Capacity and Date of  
Commissioning  
(dd-mm-yyyy)

Planned usage of Fossil Fuels  
(*Provide Detail Description of type and  
amount of fossil fuel usage planned*)

Connectivity Diagram<sup>6</sup>

Proposed Gross Generation by  
units proposed for Accreditation  
(kWh)

(Diagram Enclosed)

<sup>3</sup>*Enclose Necessary Documents*

<sup>4</sup>*Enclose Certified Copy of the Registered Lease/Sale Deed*

<sup>5</sup>*Demonstrate Compliance with the Eligibility Conditions as prescribed by MNRE/Competent Authority*

<sup>6</sup>*Enclose Connectivity Diagram/Single Line Diagram of the Station clearly identifying the units to be considered for Accreditation and showing the Metering Arrangement*

**Section D: Connectivity Details with Concerned Licensee (STU/DISCOM)**

Name of the Concerned Licensee  
under whose jurisdiction the entity  
is located

Address of the Concerned Licensee

State

Postal Code

Interconnection of Power, Station  
with the network

S No. Line From \_\_\_\_\_ to \_\_\_\_\_ Voltage Level:

*7Enclose details of Power Evacuation Arrangement/ Licensee Permission Letter to Interconnect with  
the network*

## Section E: Metering Details

Whether metering complied with the standards issued by Central Electricity Authority / State Grid Code

Are the following metered separately

- 1) Gross Energy Generated
- 2) Auxiliary Consumption
- 3) Net Energy Injection to Grid

Describe the Meter Details<sup>s</sup>

Describe the Metering Points<sup>9</sup>

What is the voltage at which electricity is generated and how the voltage is stepped up or down before use

<sup>s</sup>Enclose Meter Type, Manufacturer, Model, Serial Number, Class and Accuracy etc.

<sup>9</sup>Describe the location of meters with single line diagram

**Section F: Statutory Clearance Details**

Statutory Clearances obtained by  
the RE Generating Station including  
Environmental  
Clearances<sup>10</sup>

<sup>10</sup>*Attach the Copy of Clearances/Approvals*



## **Section G: General Details**

Nature and Activities of the Applicant

Furnish list of Directors/Partners of the Organisation

Income Tax PAN Details of the Applicant

Details of the Earlier RE Project setup by the Applicant

Basic Documents of the Company<sup>11</sup>

Net Worth of the Company  
*(Enclose Certified true copies of financial statements)*

Estimated cost of the proposed project (in Rs Lakh)

<sup>11</sup> *Enclose Memorandum of Association & Articles of Association of a Company/ Certificate of Incorporation, in case of Pvt. Ltd. Company/Certificate of Incorporation & Certificate of Business Commencement, in case of Public Ltd. Company/ Appointment of Statutory Auditor*

## Section H: Details of Fees & Charges

Name of the Bank:

Bank Draft Number/ECS Reference Number:

Date of Transaction:

Details of Amount Paid:

Processing Fees: Rs.

**Section I : Declaration**

**Declaration to be Signed by the M.D./CEO/Authorised Signatory of the Applicant**

I/We certify that all information furnished above is/are true to the best of my/our knowledge and belief.

I/We shall abide by such terms and conditions that the Central Electricity Regulatory Commission or \_\_\_\_\_ (name of the State) Electricity Regulatory Commission may impose to participate in the Renewable Energy Certificate Mechanism from time to time.

I/We hereby also confirm that 'no' Power Purchase Agreement has been or shall be signed to sell electricity generated from the proposed renewable energy generating station at preferential tariff determined by the Uttar Pradesh Electricity Regulatory Commission for ----- MW of the total capacity for which participation in REC scheme is being availed.

I/We hereby also confirm that the electricity generated from the proposed renewable energy generating station shall be sold either to the distribution licensee of the area in which the generating station is located at a price not exceeding the pooled cost of power purchase of such distribution licensee.

Signature of the applicant  
(Seal of the Company)

Date:

Place:

**FORMAT - 1.2**  
**CERTIFICATE OF ACCREDITATION**

This is to certify that \_\_\_\_\_ (*Name of the Applicant*) having/proposing to install it's RE generating station at \_\_\_\_\_ (*Proposed Location, Physical Address*) with Capacity under REC as \_\_\_MW, utilising \_\_\_\_\_ (*Name of the RE Resource*) has been granted Accreditation for its said RE Generating Station with effect from \_\_\_\_\_

This accreditation is granted subject to fulfilling the Rules, Regulations and Procedures specified by the Central Agency from time to time.

The validity of this certificate is mandated through ongoing surveillance.

**Issue Date**

**Expiration Date**

**Certificate Number**

Authorised Signatory of the Accreditation Agency

(Name and Address of the State Agency)

### ANNEXURE III

#### LIST OF DOCUMENTS AND VERIFICATION REQUIRED

S.No.	List of Documents and Requirement
1	Certified Copy of the Registered Lease/Sale Deed of land where the ----is --- or proposed – True Copy to be <b>Notarised</b> .
2	Latitude and Longitude – <b>Self Declaration</b>
3	Connectivity Diagram/Single Line Diagram of the Station clearly Identifying the units to be considered for Accreditation and showing the Metering Arrangement along with their location type manufacture model, Sr. No., Class and accuracy - <b>To be verified and Certified by District Officer of UPNEDA.</b>
4	Details of Power Evacuation Arrangement/Licensee Permission Letter from STU or distribution company (depending on the case) to Interconnect with the grid- <b>To be Attested by Officer of concerned Discom/ or STU.</b>
5	Use of fossil fuel (undertaking of companies): 15% of coal of total consumption of fuel- <b>Self Attestation on Company Letter Head and certified by district officer of UP NEDA.</b>
6	Copy of Statuary Clearances/Approvals (Environment and other if any)- <b>True copy to be Notarised</b>
7	Memorandum of Association & Articles of Association of a Company/ Certificate of Incorporation, in case of Pvt. Ltd. Company/Certificate of Incorporation & Certificate of Business Commencement, in case of Public Ltd. Company/ Appointment of Statutory Auditor – <b>Certified True Copy Only</b>
8	Undertaking from authority that they have not entered into PPA for the quantum for which the generator has applied for REC – <b>On Company Letter head by authorized signatory</b>
9	Commissioning certificate from State Transmission Utility / concerned Distribution Licensee- <b>True Copy to be Notarised</b>
10	Net Worth of the Company – <b>Certified True copy by CA</b>
11	Installed Capacity criteria certificate(>250 KW)- <b>True Copy to be Notarised/Self Attestation by Authorised Signatory</b>
12	List of directors /partners of organization – <b>To be attested by Authorised Signatory on company letter head</b>
13	Covering letter for State Nodal Agency and NLDC for application

By Order of the Commission

Secretary